Your custodian of choice

Empowering the growth of your firm

CQ Correspondent Partners

“We understand the business of managing wealth. And we understand that each business – your business – is unique. We respect that. Our goal is to deliver a quality custodial solution to best serve your clients and grow your business.”

- Kevin Vanderheyden, SVP Head of Correspondent & Institutional
  25 years of industry experience

CQ Correspondent Partners provides comprehensive custody and trading services to help portfolio managers and independent wealth management firms grow their business.

HELPING YOU BUILD YOUR BUSINESS

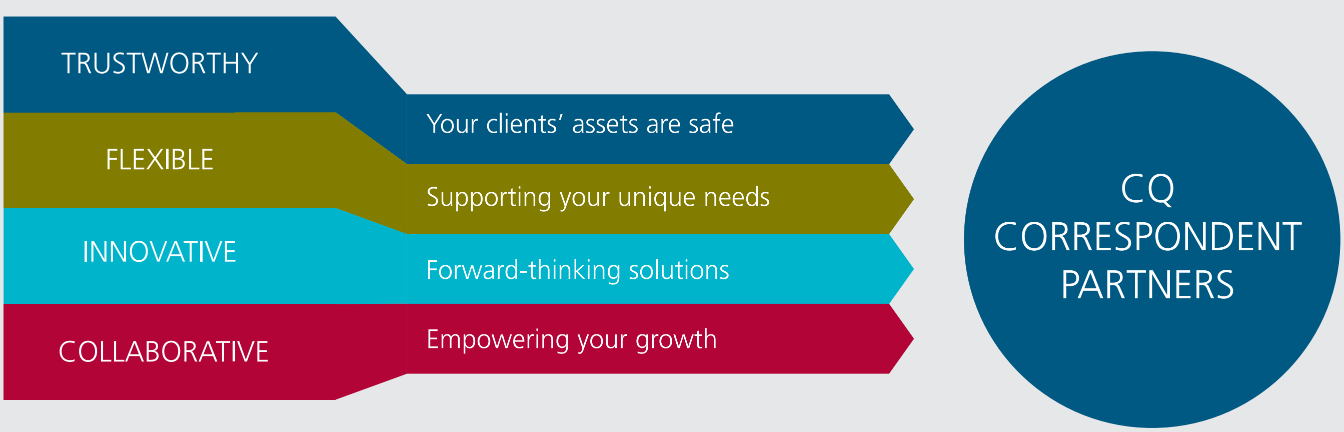
Your clients' trust is fundamental to your business. To manage their wealth, you need a strong and efficient provider of custody and trading services – a partner who values your unique business model and investment strategies, delivers the services that you need, and doesn't compete for your clients.

With CQ Correspondent Partners, you can focus on what you do best – managing money for your clients and growing your business.

CQ Correspondent Partners delivers:

- A flexible custody and trading solution that complements the way you do business
- A financially strong partner, not a competitor
- An expansive offering, innovative technology, and operational excellence
- An unparalleled commitment to service
- A true partner relationship to help you grow your business

YOUR CUSTODIAN OF CHOICE



A PARTNER YOU CAN TRUST

You and your clients want the peace of mind that comes from working with a trusted partner.

Deep roots in wealth management

CQ Correspondent Partners is a division of Credential Qtrade Securities Inc., and our parent company, Aviso Wealth Inc., is a leading independent wealth management firm with decades of experience in the Canadian financial services industry.

Partnership experience

We draw on our extensive experience of delivering effective, values-driven solutions to more than 300 reputable partners coast to coast.

Financial strength

With close to $60 billion in assets under administration, our parent company Aviso Wealth has a track record of sustainable financial growth.

A neutral custodian option

We do not compete with your business. We offer a service that complements it.

Leading market intelligence

We partner with the best to deliver world class market research and commentary.

aviso wealth

SOLID INVESTOR PROTECTION

Credential Qtrade Securities Inc. accounts are protected by the Canadian Investor Protection Fund.

CIPF
Canadian Investor Protection Fund
MEMBER

REGISTERED DEALER

Credential Qtrade Securities Inc. is regulated by the Investment Industry Regulatory Organization of Canada (IIROC), and is registered as an Investment Dealer with all Provincial Securities Commissions.

IIROC
Investment Industry
Regulatory Organization
of Canada

TRUSTWORTHY

Your clients’ assets are safe

A SOLUTION FOR YOUR UNIQUE BUSINESS

We take a proactive approach to understanding your business and build a truly flexible solution that supports your goals.

Safekeeping your clients' assets

CQ Correspondent Partners delivers a highly efficient and reliable end-to-end custody solution. We handle the safekeeping, clearing, and settlement of your clients' assets with speed and precision.

Our service includes the administration of financial assets such as cash, money market instruments, equities, government and corporate bonds, other debt instruments, rights, warrants and mutual fund units.

Safekeeping
A secure facility for safekeeping physical certificates and book-entry issues.

Clearing and settlement
Straight-through-processing for speed and accuracy.

Dividend and interest collection
Income posted on due dates whether or not we have received payments from issuing companies.

Corporate action management and proxy voting
Corporate actions and elections handled in a timely manner.

Cash management
A wide selection of cash investment alternatives to optimize client returns.

Tax reporting
Simple tax documentation and efficient foreign tax reclaims processing.

Custom reporting
Accurate and timely customized reports.

Account administration
Administration for a full range of registered and non-registered accounts.

Your trades are our priority

We offer direct access to our trade desk, where you benefit from the best execution of trade orders and access to more than 30 different exchanges and markets.

Broad market access

- All Canadian stock exchanges and alternative trading systems (ATS)
- U.S. and International stock exchanges
- Canadian and U.S. derivatives markets
- Canadian bond market
- Foreign exchange market
- Money market

Expansive product offering

- Equities (Canadian, U.S. and International)
- Options (Canadian and U.S.)
- Fixed income
- Foreign exchange
- New issues
- Structured products
- Mutual funds
- Pooled funds
- Insurance
- Cash

LEVERAGE TECHNOLOGY TO DELIVER EXCELLENCE

We seek out opportunities to extend our services and advance our technology to provide the best solution for your business.

Secure data management and transfer

Our technology is rigorously tested and continuously monitored to ensure secure data transmission and efficient processing. We deliver daily data file extracts via a secure FTP site. You may also choose to integrate our data platform with your in-house or third-party portfolio management system.

Critical availability and redundancy

We have redundant Internet access and a fully tested business continuity plan so you can rest assured that your clients' assets are protected in the event of unexpected circumstances.

Feature-rich online service centre

Our proprietary knowledgeXchange® portal aggregates critical information and tools in a single secure location, saving you time and effort.

Anywhere access for your clients

Through Credential Online, your clients have secure online access to view their investment account(s) and the ability to sign up to receive statements and tax documents electronically. Investors can also download the Credential Online App for on-the-go access to their portfolio using their smartphone.

Business Tools

- Business reports
- Request progress monitoring
- Fillable forms and applications
- Guides and checklists
- Training centre

Clients and Accounts

- New account opening
- Account management and inquiry
- Client documents
- Trade order entry

Market Research

- News and announcements
- Rates and new issues
- Bond inventory and ladders
- Equity research, pick lists and reports
- ETF pick lists and reports

OUR COMMITMENT TO SUPERIOR SERVICE

You give your best advice to your clients. We give our best to serve you.

Comprehensive transition support

We take a disciplined and fully assisted approach to seamlessly transition your clients' assets.

An Implementation Specialist guides you throughout the onboarding process. Together, we prepare a custom plan and schedule of events to ensure a smooth transition. This includes hands-on training and demonstrations of proprietary systems and technology.

Our support continues long after the bulk upload of your clients' assets. You receive ongoing technical support during trading hours and extended support on call.

Dedicated and responsive team

Our centralized client service team and full back office are equipped to resolve issues quickly and effectively. Experienced team members are directly accessible by phone, email or fax to handle your day-to-day inquiries.

Plus, a dedicated account manager meets with you regularly to explore new opportunities to serve you better.

Continuous improvement

Through rigorous internal controls and high service level guidelines, we go beyond best practices to ensure your needs are consistently met.

We continually seek out new processes and technologies to increase the effectiveness and efficiency of our custody-related services.

Our performance is measured through an annual partner survey to set benchmarks for improving our service to you.

COLLABORATIVE

GROW YOUR BUSINESS WITH US

CQ Correspondent Partners works with you to design and build a solution specifically to meet your firm's needs and objectives.

We call it *'empowering positive growth'.*

About CQ Correspondent Partners and Aviso Wealth

CQ Correspondent Partners is a division of Credential Qtrade Securities Inc. Our parent company, Aviso Wealth, is a national, integrated financial services company, with decades of experience and close to $60 billion in assets under administration. Aviso Wealth Inc. is a wholly-owned subsidiary of Aviso Wealth LP, which in turn is owned 50% by Desjardins Financial Holding Inc. and 50% by a limited partnership owned by five Provincial Credit Union Centrals and The CUMIS Group Limited. In addition to custodial services and introducing broker services to independent financial organizations, Aviso's comprehensive wealth management offering includes: MFDA- and IIROC-regulated dealers and insurance dealership supporting thousands of financial advisors across Canada; an award-winning online brokerage platform, Qtrade Investor; an automated investing platform, VirtualWealth; and an asset manager, NEI Investments, a Canadian leader in Responsible Investment funds and portfolios. Aviso has major operations in Toronto and Vancouver, along with regional offices across Canada.

More information about Aviso Wealth is available at www.aviso.ca.

CQ Correspondent Partners

CCP 2018 03_90